

1-978 U.S. PTO
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A

Commissioner for Patents
Washington, DC 20231
ATTN: BOX PATENT APPLICATION

Sheet 1 of 2

U.S. Express Mail Label No.: EV018435674US

**UTILITY
PATENT APPLICATION
TRANSMITTAL**
Docket No.: 01-544US
Date: February 26, 2002

110/083423
202/950 US PTO

Inventor Name(s):
JOHN M. SPANGLER

Title:
A METHOD OF PROCURING A COMPONENT

Fee Transmittal Form Attached in Duplicate

Specification and Claim(s) [Total Pages 15]

Drawing(s) [Total Sheets 2]
FORMAL

Declaration [Total Pages 1]

Newly Executed (Original or Copy)

Copy From Prior Application (37 CFR § 1.63(d))

Deletion of Inventor(s) (37 CFR § 1.63(d)(2))
(Signed Statement Attached)

Assignment Papers (Cover Sheet and Document(s))

37 CFR § 3.73(b) Statement (if applicable) Power of Attorney

English Translation Document (if applicable)

Information Disclosure Statement (IDS)/PTO-1449

Copies of IDS Citations

Preliminary Amendment

Return Receipt Postcard (Specifically Itemized)

Certified Copy of Priority Document(s)

Other

If a Continuing Application

Continuation Divisional Continuation-In-Part (CIP)

of prior Application No.: ;

Examiner: ; and

Group/Art Unit:

Cancel Claims:

For Continuations or Divisional Applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied, as set forth above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

Correspondence Address:

Customer Number or Bar Code Label: 719

or

Correspondence address below:

Name:

Address:

City:

State:

Zip Code:

Country:

Attorney/Agent: W. Bryan McPherson III

Registration No.: 41,988

Signature: W. Bryan McPherson
Caterpillar Inc. Date: Feb. 26, 2002

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 740)

Complete If Known

Application Number	(Unassigned)
Filing Date	(Herewith)
First Named Inventor	John M. Spangler
Examiner Name	(Unassigned)
Group Art Unit	(Unassigned)
Attorney Docket No.	01-544US

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number: 03-1129

Deposit Account Name:

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	2,520 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	400	216 200 Extension for reply within second month	
117	920	217 460 Extension for reply within third month	
118	1,440	218 720 Extension for reply within fourth month	
128	1,960	228 980 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,280	241 640 Petition to revive - unintentional	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
145	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(b))	
149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279 370 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify)			

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from Delays	Fee Paid
11	-20** = 0	0 X 18 = 0	
3	-3** = 0	0 X 84 = 0	
Multiple Dependent			

Large Entity Small Entity

Fee Code (\$)

Fee Code (\$)

Fee Description

Fee Description